

Wesley G. Nissen

Partner | Corporate & Securities

OVERVIEW

Wes is a partner in the Corporate & Securities practice group. He provides comprehensive legal services and advice to asset managers, proprietary trading firms, single-family offices, multifamily offices, and wealth management advisors. Wes counsels them on a wide range of transactional and regulatory issues, including corporate formation, structure and succession planning, operational and administrative matters, securities, futures, and derivatives matters, brokerage and derivatives documentation, regulatory compliance matters, equity and debt financings, mergers and acquisitions, joint ventures and private investment deals.

Wes has a distinctive skill set combining a deep understanding of corporate and financial services regulatory and transactional matters with a high emotional quotient and a superior ability to develop and communicate creative solutions to legal and business challenges. He is a certified public accountant and in 2014 earned his Claritas Investment Certificate from the CFA Institute Investment Foundation.

A sought-after speaker and author on topics relating to hedge funds and investment vehicles, he has been recognized for his private funds practice in *The Best Lawyers in America* and other publications. In 2017 *Illinois Best Lawyers* recognized him as "Lawyer of the Year," a peer-review award, in the category Private Funds/Hedge Funds Law.

Wes also is a founding member of the Midwest Chapter of the Committee of Hearts, the Board of Directors of Hedge Funds Care a/k/a Hope for Children, an international charity



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EDUCATION

University of Illinois College of Law
(J.D., 1986) *magna cum laude*

University of Illinois at Urbana-
Champaign (B.S., Accounting, 1983),
with high honor

ADMISSIONS

Illinois

supported by the hedge fund industry dedicated to the prevention and treatment of child abuse.

RECOGNITIONS

- *Illinois Best Lawyers*, "Private Funds/Hedge Funds Law," Lawyer of the Year, 2017
- *The Best Lawyers in America*, recommended in "Corporate Law: Private Funds/Hedge Funds Law" since 2006
- *Illinois Super Lawyers*, recommended in "Banking," 2010-2015

NEWS & INSIGHTS

March 24, 2025 Publication

FinCEN Announces US Companies and Persons Exempt from CTA Reporting

March 4, 2025 Publication

Treasury Limits CTA Enforcement to Foreign Companies

February 28, 2025 Publication

Corporate Transparency Act is Again on Hold

February 20, 2025 Publication

CTA Reinstated; Deadline Extended

January 28, 2025 Publication

CTA Remains on Hold Despite Supreme Court Ruling

January 17, 2025 Publication

CTA Update: DOJ Files SCOTUS Application to Stay Injunction

December 27, 2024 Publication

Corporate Transparency Act Filing Requirements and Deadlines Again On Hold

December 24, 2024 Publication

5th Circuit Reinstates Corporate Transparency Act Filing Deadline; Treasury Department Grants Extension

October 15, 2024 Publication

New FinCEN Rule Imposes AML Requirements on Investment Advisers

August 15, 2024 Firm News

60 Neal Gerber Eisenberg Attorneys Included in 2025 *Best Lawyers In America*

October 31, 2023 Publication

Client Alert: Beware of Fake CTA Reporting Requests

August 17, 2023 Firm News

55 Neal Gerber Eisenberg Attorneys Included in 2024 *Best Lawyers In America*



03.29.23 Publication

Client Alert: M&A Broker Exemption Takes Effect

November 10, 2022 Publication

Client Alert: Corporate Transparency Act's Impact on Family Offices and Trust and Estate Planning

November 9, 2022 Publication

FinCEN's Final Rule on Beneficial Ownership Information Reporting Requirements Defers Effective Date until January 1, 2024

August 18, 2022 Firm News

60 Neal Gerber Eisenberg Attorneys Included in 2023 *Best Lawyers*

July 21, 2022 Firm News

Neal Gerber Eisenberg Private Wealth Practice Group and Larry Richman Ranked in *Chambers High Net Worth* 2022

May 2, 2022 Firm News

Neal Gerber Eisenberg Selected As Finalist in the Family Wealth Report Awards 2022

April 15, 2022 In The Media

Corporate & Securities Partner Wes Nissen Interviewed on Chicago WGN Radio Program About Elon Musk and Twitter

March 14, 2022 Publication

Client Alert: Biden Issues Executive Order on Ensuring Responsible Development of Digital Assets

January 11, 2022 Publication

Client Alert: The Corporate Transparency Act of 2019: A New Era of Beneficial Ownership Disclosure and Entity Formation

August 18, 2021 Firm News

52 Neal Gerber Eisenberg Attorneys Included in 2022 *Best Lawyers*

May 6, 2021 Firm News

Neal Gerber Eisenberg Named Legal Team of the Year by the *Family Wealth Report* Awards

January 21, 2021 Publication

Client Alert: Newly Enacted Corporate Transparency Act of 2019 Introduces Significant New Reporting

October 12, 2020 Publication

Client Alert: SEC Proposes Exemption for Certain Finders Assisting with Capital Raising

August 31, 2020 Publication

Client Alert: New Accredited Investor Rules Will Expand Eligibility for Private Fund Investments

August 19, 2020 Firm News

46 Neal Gerber Eisenberg Attorneys Included in 2021 *Best Lawyers*

January 28, 2020 Firm News

NGE Represents GLS Capital LLC in \$345 Million Debut Fund



August 15, 2019 Firm News

45 Neal Gerber Eisenberg Attorneys Included in 2020 Best Lawyers

May 1, 2019 Publication

Client Alert: SEC Issues Risk Alert Warning of Privacy Issues Identified in Recent Examinations of Registered Investment Advisers and Broker-Dealers

August 15, 2018 Firm News

45 Neal Gerber Eisenberg Attorneys Included in 2019 Best Lawyers

July 6, 2018 Firm News

Neal Gerber Eisenberg Adds Wesley Nissen as Partner in Its Corporate & Securities Group