



## Regulatory Enforcement

We give our clients the leading edge in white collar crimes and investigations, combining inside-out knowledge and experience with complex regulatory laws and government policies. Our team defends corporations and financial institutions across a broad spectrum of industries, developing coordinated, effective strategies to counter the full spectrum of alleged regulatory violations with a sensitivity to the underlying issues and potential consequences of government investigations.

### Regulatory Defense

Our regulatory enforcement practice blends hands-on trial lawyers with practitioners from the ranks of government service at federal and state levels. We draw on this experience to deal directly with regulatory and government agencies to avoid the harmful consequences of government action, and to successfully defend companies or their management at trial. We defend clients in investigations and enforcement actions brought by regulatory agencies throughout the U.S., including the SEC, the Financial Industry Regulatory Authority, the Department of Labor, stock exchanges and boards of trade and commerce.

### Government Investigations

We represent clients in regulatory investigations and charges involving insider trading, corporate disclosure and registration issues, trade and sale practices, advertising violations and white-collar crimes. Clients rely on our experienced counsel to adroitly navigate the regulatory and disciplinary process, to help avoid the critical and costly legal problems that can arise during the regulatory enforcement process.

### Antitrust

National in scope, our antitrust litigators have won high-profile cases involving claims of unlawful monopoly, price-fixing, group

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#### KEY CONTACT

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#### FOCUS AREAS

Corporate Investigations  
Regulatory Proceedings

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#### RELATED CLIENT SERVICES

Trials & Appeals  
Regulatory Enforcement  
Bankruptcy & Creditors' Rights  
Labor & Employment  
Insurance Policyholder  
Taxation

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boycott and other anticompetitive conduct for clients in the financial, technology, health care and non-for-profit fields.

## **White-Collar Crime**

The firm's white-collar crime practice leverages its significant criminal and civil trial experience to reduce or eliminate trial exposure, advocating on a broad array of civil and criminal allegations. We adeptly respond to grand jury proceedings and regulatory defense. We fiercely protect our clients at every step in the regulatory and disciplinary process, from preliminary investigations, responding to subpoenas for testimony and documentation, through formal proceedings and trials.